

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER SON-1782/KOI

CONCERNING A FILING	ONDER 33 0.3.C. 3/1						
		U.S. APPLICATION NO. (ISknown, see 37 C.F. 810					
INTERNATIONAL APPLICATION NO. PCT/JP00/00328	INTERNATIONAL FILING DATE 24 January 2000	PRIORITY DATE CLAIMED 22 January 1999					
TITLE OF INVENTION FILM FORMING METHOD AND FILM FORMING APPARATUS							
APPLICANT(S) FOR DO/EO/US Hideo YAMANAKA and Kikuo KAISE							
Applicant herewith submits to the United State	es Designated/Elected Office (DO/EO/US)	the following items and other information:					
1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
3. This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).							
A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.							
A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.  A copy of the International Application as filed (35 U.S.C. 371(c)(2)  a.  is transmitted herewith (required only if not transmitted by the International Bureau).  b.  has been transmitted by the International Bureau  c.  is not required, as the application was filed in the United States Receiving Office (RO/US).  A translation of the International Application into English (35 U.S.C. 371(c)(2)).  Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).							
8. 🗵 A translation of the International Application into English (35 U.S.C. 371(c)(2)).							
Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).  a. □ are transmitted herewith (required only if not transmitted by the International Bureau).  b. □ have been transmitted by the International Bureau.  c. □ have not been made; however, the time limit for making such amendment has NOT expired.  d. ☒ have not been made and will not be made.  8. □ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
3. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. $\square$ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 16 below concern either document(s) or information included:							
11. In Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. ☐ A FIRST preliminary amendment.							
☐ A SECOND or SUBSEQUENT preliminary amendment.							
14. ☐ A substitute specification.							
15. ☐ A change of power of attorney and/or address letter.							
16. $\square$ Other items or information.							

U.S. APPLICATION OF IT FROM 4	6680	INTERN.	ATIONAL APPLICATION NO.	2 g	ATTORNEY'S DOCKET NUMBER SON-1782/KOI		
17. X The following fees are submitted:					CALCULATIONS	PTO USE ONLY	
Basic National Fee (37 CRF 1.49(a)(1)-(5): Search Report has been prepared by the EPO or JPO					\$ 890.00		
International preliminary examination fee paid to USPTO (37 CRF 1.482)							
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)							
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO							
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)							
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 890.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 anonths from the earliest claimed priority date (37 CFR 1.49(e)).					\$		
Claims	Number F	illed	Number Extra	Rate			
Total Clain	ns 45-20=	:	25	X \$22	\$ 550.00		
Independent Clain	ns 2-3=		0	X \$78	\$		
Multiple dependent claim(s) (	if applicable)		V-1	+ \$250	\$		
TOTAL OF ABOVE CALCULATIONS =					\$ 1440.00		
=Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed.					\$		
SUBTOTAL =					\$ 1440.00		
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.49(f)).					\$		
	TOTAL	NATION	AL FEE	=	\$		
Eee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$		
TOTAL FEES ENCLOSED =					\$ 1440.00		
					Amount to be refunded:	<b>\$</b>	
					charged:	\$ 1440.00	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 18-0013 in the amount of \$1440.00 to cover the above fees. A duplicate of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0013</u> . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:					1 1001	SIGNATURE	
Ronald P. Kananen, Esq. Rader, Fishman & Grauer, L.P.P.C.					42,314		
1233 20 <sup>th</sup> Street, N.W., Suite 501 Washington, DC 20036					<del>ro</del> nald p		
Dated: September 20, 2000					NAME 24,104 REGISTRATION NUMBER		

5



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re International Application of

International Serial No.

PCT/JP00/00328

International filing date:

January 24, 2000

For: Film Forming Method and Film Forming Apparatus

## VERIFICATION OF TRANSLATION

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Eiichi Tamura, a member of A.KOIKE & CO., of 11-Mori Bldg., 6-4, Toranomon 2-chome, Minato-ku, Tokyo 105-0001 Japan, declares:

- (1) that he knows well both the Japanese and English languages;
- (2) that he translated the above-identified International Application from Japanese to English;
- (3) that the attached English translation is a true and correct translation of <u>the above-identified International</u> <u>application</u> to the best of his knowledge and belief; and
- (4) that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18USC 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

System 8. 2000

Date Eiichi Tamura

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